NOTICE OF ENTRY OF ORDER RE STIP. RE SERVICE OF PROCESS, ETC. C-07-6198 MHP

Document 18

Filed 02/26/2008

Page 1 of 8

Case 3:07-cv-06198-MHP

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TO ALL PARTIES AND TO THEIR ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that the Order regarding Stipulation and Service of Process, Motions and Rule 26(f) Conference (Civil L.R. 6-2) was signed by The Honorable Marilyn H. Patel on February 22, 2008 and entered on February 26, 2008. A true and correct copy of the Order is attached hereto as Exhibit A.

Dated: February 26, 2007

GILBERT R. SEROTA
MARK A. SHEFT
MICHAEL L. GALLO
HOWARD RICE NEMEROVSKI CANADY
FALK & RABKIN
A Professional Corporation

By: /s/ GILBERT R. SEROTA

Attorneys for Plaintiffs
THOMAS WEISEL PARTNERS LLC
and THOMAS WEISEL
INTERNATIONAL PRIVATE
LIMITED

HOWARD RICE NEMEROVSKI CANADY FALK & RABKIN

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EXHIBIT A

Page 1 of 5 Case 3:07-cv-06198-MHP Filed 02/26/2008 Document 17 GILBERT R. SEROTA (No. 75305) 1 Email: gserota@howardrice.com MARK A. SHEFT (No. 183732) 2 Email: msheft@howardrice.com MICHAEL L. GALLO (No. 220552) 3 Email: mgallo@howardrice.com HOWARĎ RIČE NEMEROVSKI CANADY 4 **FALK & RABKIN** A Professional Corporation 5 Three Embarcadero Center, 7th Floor San Francisco, California 94111-4024 6 415/434-1600 Telephone: 415/217-5910 Facsimile: 7 Attorneys for Plaintiffs 8 THOMAS WEISEL PARTNERS LLC and THOMAS WEISEL INTERNATIONAL 9 PRIVATE LIMITED 10 UNITED STATES DISTRICT COURT 11 NORTHERN DISTRICT OF CALIFORNIA 12 SAN FRANCISCO DIVISION 13 14 & RABKIN No. CV-07-06198 MHP 15 THOMAS WEISEL PARTNERS LLC, a Delaware limited liability company, and Action Filed: December 6, 2007 THOMAS WEISEL INTERNATIONAL 16 PRIVATE LIMITED, an Indian company, PLAINTIFFS AND BNP 17 DEFENDANTS' STIPULATION AND Plaintiffs, [PROPOSED] ORDER RE SERVICE OF 18 PROCESS, MOTIONS AND RULE 26(f) ٧. CONFERENCE (Civil L. R. 6-2) 19 BNP PARIBAS, a French corporation, BNP PARIBAS SECÚRITIES (ASÍA) LIMÍTED, 20 a Hong Kong company, and PRÁVEEN CHAKRAVARTY, an individual, 21 22 Defendants. 23 24 25 26 27 28 STIPULATION AND [PROPOSED] ORDER RE SERVICE OF PROCESS, ETC. C-07-6198 MHP

Document 18

Filed 02/26/2008

Page 4 of 8

Case 3:07-cv-06198-MHP

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WHEREAS, Plaintiffs Thomas Weisel Partners LLC and Thomas Weisel International Private Limited (collectively "TWP") filed the above-captioned action on December 6, 2007;

WHEREAS, on January 15, 2008, TWP filed a First Amended Complaint;

WHEREAS, counsel for Defendants BNP Paribas and BNP Paribas Securities (Asia) Limited ("BNPP Asia") has informed TWP's counsel that the BNP Defendants intend to file a motion to dismiss the First Amended Complaint, in part, on the basis of a lack of personal jurisdiction and forum non conveniens;

WHEREAS, these parties agree that the Court should hear and resolve the abovementioned dismissal arguments before considering challenges to the sufficiency of the claims alleged in the First Amended Complaint;

NOW, THEREFORE, TWP, BNP Paribas and BNPP Asia HEREBY STIPULATE AND AGREE as follows:

- 1. BNP Paribas and BNPP Asia, through their authorized counsel, accept service of the Summons, Complaint, First Amended Complaint, and any and all related papers required to be served with the Complaint and First Amended Complaint, and waive any objection to the sufficiency either of the process served upon them or the service of process. *See* Fed. R. Civ. Proc. 12(b)(4) and 12(b)(5).
- 2. BNP Paribas and BNPP Asia will file their anticipated motion to dismiss for lack of personal jurisdiction and forum non conveniens (the "Jurisdiction Motion") on or before March 7, 2008. Absent a need to delay briefing in order to complete jurisdictional discovery (see Paragraph 3), TWP will file and serve its opposition on March 28, 2008, and BNP Paribas and BNPP Asia will file and serve their reply on April 11, 2008. The Jurisdiction Motion will be heard on April 28, 2008, or as soon thereafter as the Court's schedule permits.
- 3. If TWP undertakes jurisdictional discovery—whether by consent or leave of Court—the parties will meet and confer in good faith to consider whether it is necessary to revise or extend the briefing and hearing schedule set forth above.

STIPULATION AND [PROPOSED] ORDER RE SERVICE OF PROCESS, ETC. C-07-6198 MHP

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- Motions challenging the sufficiency of the claims alleged in the First Amended 4. Complaint pursuant to Rule 12(b)(6) of the Federal Rules of Civil Procedure (the "12(b)(6) Motions") will be filed and served by either or both of these defendants no later than 14 days after the Court issues an order on the Jurisdiction Motion. Oppositions will be due 30 days thereafter, and replies will be due 15 days after the oppositions are filed. The 12(b)(6) Motions will be heard as soon thereafter as the Court's schedule permits or at another date mutually agreed upon by the parties and acceptable to the Court.
- During the pendency of these motions, BNP Paribas and BNPP Asia agree to 5. comply with all applicable rules regarding the preservation of evidence relating to this matter.
- On or before March 14, 2008, counsel for the parties will confer. Plaintiffs 6. intend to assert that such a conference is pursuant to Rule 26(f) of the Federal Rules of Civil Procedure, and to request that discovery more forward. Defendants do not agree that counsel for the parties will be meeting pursuant to Rule 26(f), and intend to argue that no discovery should be permitted until after the Court resolves the Jurisdiction Motion. Counsel for the parties will submit to the Court a written report outlining their respective positions on or before March 24, 2008. The participation of counsel for BNP Paribas and BNPP Asia in this conference and planning process will not waive any argument that may be available to BNP Paribas and BNPP Asia in the Jurisdiction Motion and the 12(b)(6) Motions.
- The dates set by the Court for the filing of the Joint Case Management 7. Conference Statement (March 21, 2008) and for the Case Management Conference (March 31, 2008) will remain as stated in the December 28, 2007 Clerk's Notice.

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1		GILBERT R. SEROTA	
2	Dated: February , 2007	MARK A. SHEFT MICHAEL L. GALLO	
3		HOWARD RICE NEMEROVSKI CANADY	
4		FALK & RABKIN A Professional Corporation	
5	·	-	
6		By: GILBERT R. SEROTA	
7	·	Attorneys for Plaintiffs THOMAS WEISEL PARTNERS LLC	
8		and THOMAS WEISEL	
9 10		INTERNATIONAL PRIVATE LIMITED	
10		TO SERVICE A DESTROY IV	
12	Dated: February /5, 2007	JOSEPH E. ADDIEGO, III DAVIS WRIGHT TREMAINE LLP	
HOWARD 13		LEIV BLAD	
RICE VEMEROVSKI CANADY 14		CLIFFORD CHANCE	
e RABKIN		By: WELL ADDIEGO, III	
16		Attorneys for Defendants BNP PARIBAS and BNP PARIBAS	
17		SECURITIES (ASIA) LIMITED	
18			
19		·	
20	PURSUANT TO STIPULATION, IT IS SO ORDERED		
21	Dated: February <u>22</u> , 2008	TES DISTRICT	
22			
23		Judge Marilyn U United IT IS SO ORDERED	
24			
25		Judge Marilyn H. Patel	
26		Judge Wall	
27		DISTRICT OF CE	
28	STIPULATION AND [PROPOSE		
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Document 18

Document 17

Case 3:07-cv-06198-MHP

Case 3:07-cv-06198-MHP

Filed 02/26/2008 Page 7 of 8 Filed 02/26/2008 Page 4 of 5

Filed 02/26/2008

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1 2 3 4 5 6 7 8 9 10 11 12 HOWARD 13 NEMEROWSKI 14 & RABKIN 14 & RABKIN 15 16 17	Dated: February 15, 2007 Dated: February , 2007		GILBERT R. SEROTA MARK A. SHEFT MICHAEL L. GALLO HOWARD RICE NEMEROVSKI CANADY FALK & RABKIN A Professional Corporation By: Jillah R. Senota Attorneys for Plaintiffs THOMAS WEISEL PARTNERS LLC and THOMAS WEISEL INTERNATIONAL PRIVATE LIMITED JOSEPH E. ADDIEGO, III DAVIS WRIGHT TREMAINE LLP LEIV BLAD CLIFFORD CHANCE By: JOSEPH E. ADDIEGO, III Attorneys for Defendants BNP PARIBAS and BNP PARIBAS SECURITIES (ASIA) LIMITED
18 19 20			
	PURSUANT TO STIPULATION, IT IS SO ORDERED Dated: February, 2008		
21 22	Bateu. 1 corum), =		
23			Judge Marilyn Hall Patel United States District Judge
24			Office States District sadge
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27 28			
20	STIPULATION ANI	D [PROPOSED] O	ORDER RE SERVICE OF PROCESS, ETC. C-07-6198 MHP

-3-